

# **NATIONAL REGULATORY CONFERENCE 2013**

## **Regulatory Innovation Towards Transformation**

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### **Safety Assessment of Cosmetics**

Mohd Helme Mohd Helan  
SIRIM Berhad  
May 8, 2013

# **Safety Assessment of Cosmetics**

**INTRODUCTION**

**ASEAN COSMETIC DIRECTIVE**

**INGREDIENTS (SUBSTANCES)**

**FINISHED PRODUCTS**

**TEST FACILITIES**

# Safety Assessment of Cosmetics

## INTRODUCTION

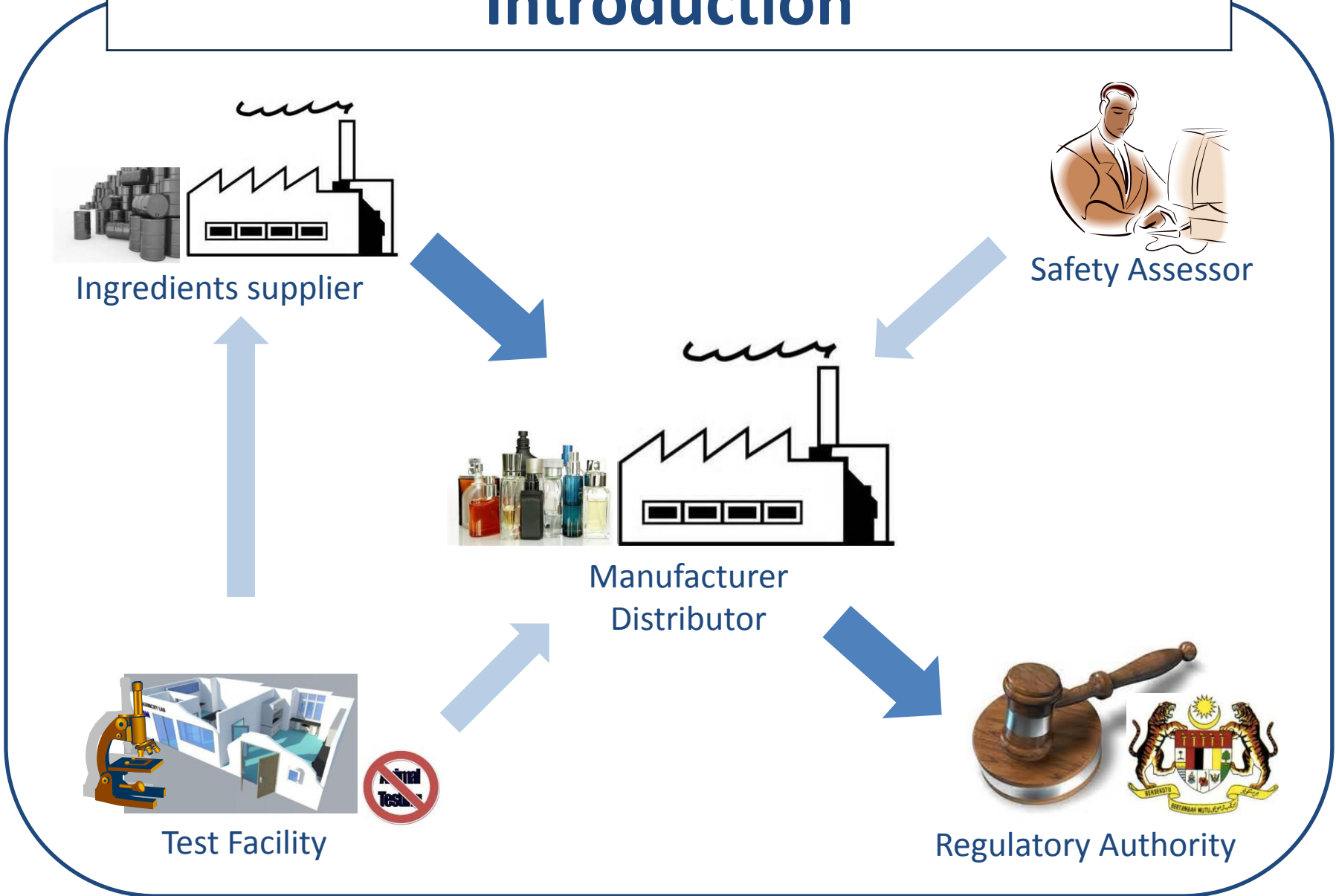
ASEAN COSMETIC DIRECTIVE

INGREDIENTS (SUBSTANCES)

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TEST FACILITIES

# Introduction



# Introduction

## Risk Assessment

### Hazard identification

Physical and chemical specifications  
Toxicological properties  
QSAR studies  
Epidemiological studies  
Clinical studies

### Dose-response assessment

Relationship study between toxic response and exposure

### Exposure assessment

Amount and frequency of human exposure to the substance

# Introduction

## Risk Assessment

Hazard  
identification

Dose-response  
assessment

Exposure  
assessment

Risk  
characterization

**Calculation of probability** the  
substance under investigation  
causes damage to human health  
and to what extent

# Introduction

## Risk Assessment

Hazard  
identification

Dose-response  
assessment

Exposure  
assessment

Risk  
characterization

Risk  
management

Risk  
communication



Based on WHO/UNEP/ILO (2001).  
Approaches to Integrated Risk Assessment.

# Safety Assessment of Cosmetics

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# ASEAN Cosmetic Directive

Guidelines for Control of Cosmetic Products in Malaysia

## Guidelines for Control of Cosmetic Products in Malaysia

MEI 2009  
(rev02)

Page 1 of 18

ASEAN Guidelines for safety evaluation of cosmetic products

Annex I Part 6



ASEAN

## GUIDELINES FOR THE SAFETY ASSESSMENT OF A COSMETIC PRODUCT

Page 1 of 15

# ASEAN Cosmetic Directive

Article 1: General Provisions

Article 2: Definition and Scope of Cosmetic Product

Article 3: Safety Requirements

Article 4: Ingredient Listings

Article 5: ASEAN Handbook of Cosmetic Ingredients

Article 6: Labeling

Article 7: Product Claims

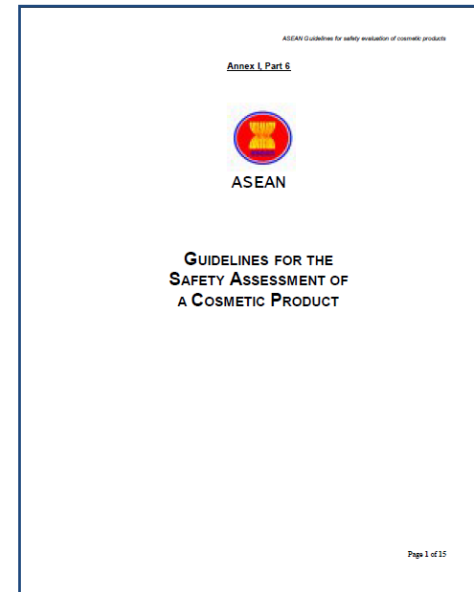
Article 8: Product Information

Article 9: Methods of Analysis

Article 10: Institutional Arrangements

Article 11: Special Cases

Article 12: Implementation



# ASEAN Cosmetic Directive

Article 1: General Provisions

Article 2: Definition and Scope of Cosmetic Product

**Article 3: Safety Requirements**

Article 4: Ingredient Listings

Article 5: ASEAN Handbook of Cosmetic Ingredients

Article 6: Labeling

Article 7: Product Claims

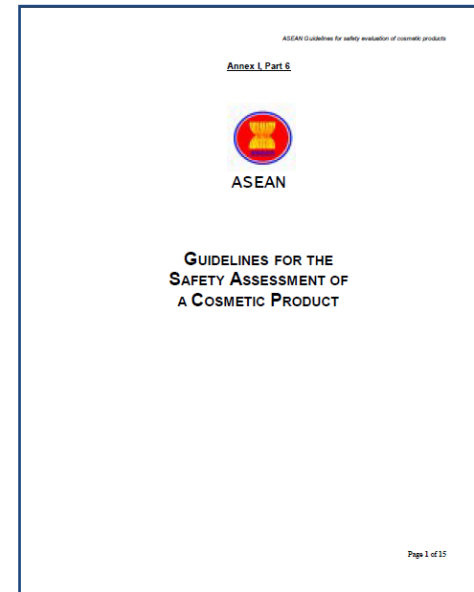
**Article 8: Product Information**

Article 9: Methods of Analysis

Article 10: Institutional Arrangements

Article 11: Special Cases

Article 12: Implementation



# ASEAN Cosmetic Directive

## Article 3: Safety Requirements

A cosmetic product placed on the market **must not cause damage to human health when applied under normal or reasonably foreseeable conditions of use**, taking account, in particular, of the product's presentation, its labeling, instructions for its use and disposal, warning statements as well as any other indication or information provided by the manufacturer or his authorized agent or by any other person responsible for placing the product on the market.

# ASEAN Cosmetic Directive

## Article 8: Product Information

... assessment of the safety for human health of the

- finished product,
- its ingredients (or substances),
- its chemical structure and
- its level of exposure.

# ASEAN Cosmetic Directive

Safety assessment  
of cosmetic ingredients and products  
remains a **scientific exercise**  
that can only be performed  
on a **case-by case basis**

# ASEAN Cosmetic Directive

Generally,  
major basis for safety evaluation  
is provided  
considering the toxicological profile  
of its **ingredients (substances)**



*“Safety of cosmetic products in the EU is based on the safety of the ingredients” (SCCS Notes of Guidance, 8<sup>th</sup> Revision 2012)*

## ASEAN Cosmetic Directive

As of March 11, 2013, the **marketing ban** will be enforced in Europe for **cosmetics tested on animals**. No chemical explicitly for use as a **cosmetic ingredient** should be tested on an animal after that date, anywhere in the world.

*Regulation (EC) No 1223/2009 of the European Parliament and of the Council*



# ASEAN Cosmetic Directive

## Issues

- Good Manufacturing Practice
- Ingredients (substances)
- Packaging
- Quality control (microbiology and chemical)
- Stability studies
- Labeling
- Product surveillance

# Safety Assessment of Cosmetics

INTRODUCTION

ASEAN COSMETIC DIRECTIVE

**INGREDIENTS (SUBSTANCES)**

FINISHED PRODUCTS

TEST FACILITIES

# Ingredients

- ❑ Mostly well-defined single chemical and often mixtures of chemicals
- ❑ Synthetic or natural origin (mineral, animal, botanical or derived from biotechnology)
- ❑ Fragrance
- ❑ Potential endocrine disruptors
- ❑ Carcinogenic, mutagenic or toxic to reproduction (CMR)
- ❑ Nanomaterials

# Ingredients

## ASEAN Cosmetic Directive

- Exclude ingredients in Annex II
- Restrictions laid down in Annex III
- Restrictions for colouring agents in Annex IV
- Restrictions for preservatives in Annex VI
- Restrictions for UV filters in Annex VII

# Ingredients

**...exclude,**

- Incompatible toxicological data
- Insufficient toxicological data
- Not properly characterized

# Ingredients

## Physical and Chemical Specifications

- Chemical Identity
- Physical form
- Molecular weight
- Characterization and purity of chemical
- Characterization of the impurities/contaminants
- Solubility
- Partition coefficient ( $\text{Log } P_{ow}$ )
- Additional specifications (flash point, liquid, solid, gases)
- Homogeneity and stability
- UV light absorption spectrum
- Isomer composition
- Functions and uses

# Ingredients

## Toxicological data

- Acute toxicity
- Corrosivity and Irritation (Skin and Eye)
- Skin sensitization
- Dermal/Percutaneous absorption
- Repeated dose toxicity
- Reproductive toxicity
- Mutagenicity/Genotoxicity
- Carcinogenicity
- Toxicokinetic studies
- Photo-induced toxicity
- Human data

# Ingredients

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- Acute toxicity
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# Ingredients

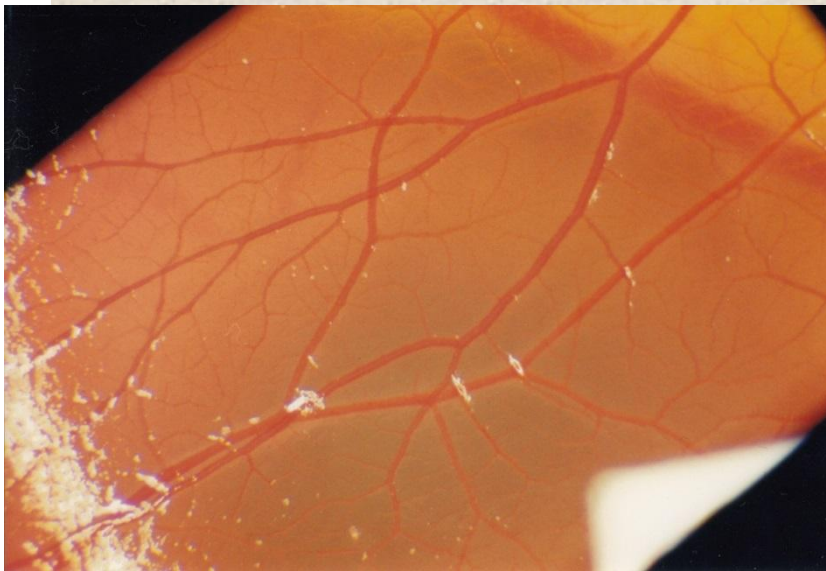
## Toxicological data

Skin Corrosion	OECD No 430 (2004) OECD No 431 (2004)
Skin Irritation	OECD No 439 (2010)
Skin Sensitization	Adverse Outcome Pathway (AOP) OECD No 168, Part 1 & 2 (2012)
Dermal Absorption	OECD No 428 (2004)
Photo-induced Toxicity	OECD No 432 (2004)

# Ingredients

## Toxicological data

### Hen's Egg Test – ChorioAllantoic Membrane (HET-CAM)



0.1N NaOH

- Haemorrhage (bleedings)
- Vasclular lysis (blood vessel disintegration)
- Coagulation (protein denaturation)

# Ingredients

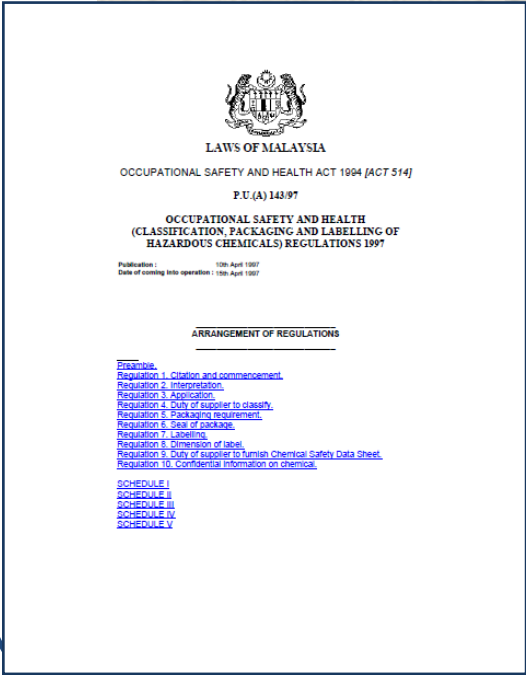
## Toxicological data

- Safety Data Sheet (SDS)
- Internet
- Commercial data source

# Ingredients

## Toxicological data

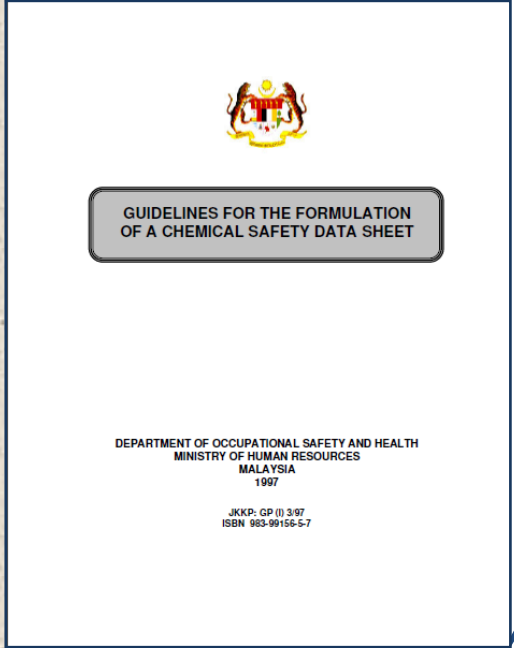
- ❑ Safety Data Sheet (SDS)



## Occupational Safety and Health Regulation

(Classification, Packaging and Labeling of Hazardous Chemical)  
CLP Regulation 1997

(Chemical Classification, Labeling and Safety Data Sheets)  
CLASS Regulation 201X



# Ingredients

## Toxicological data

- ❑ Safety Data Sheet (SDS)
- ❑ Internet





# Ingredients

## Toxicological data

- ❑ Safety Data Sheet (SDS)
- ❑ Internet
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# Safety Assessment of Cosmetics

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TEST FACILITIES

# Finished Products

Safety assessment is to be performed  
by a qualified professional defined as  
the “**Safety Assessor**”





# Finished Products

## Safety Assessor

- Recognized competence & ethics
- Access to toxicological and analytical information
- Not involved with commercial aspect of product

# Finished Products

$$\text{MoS} = \frac{\text{NO(A)EL}}{\text{SED}}$$

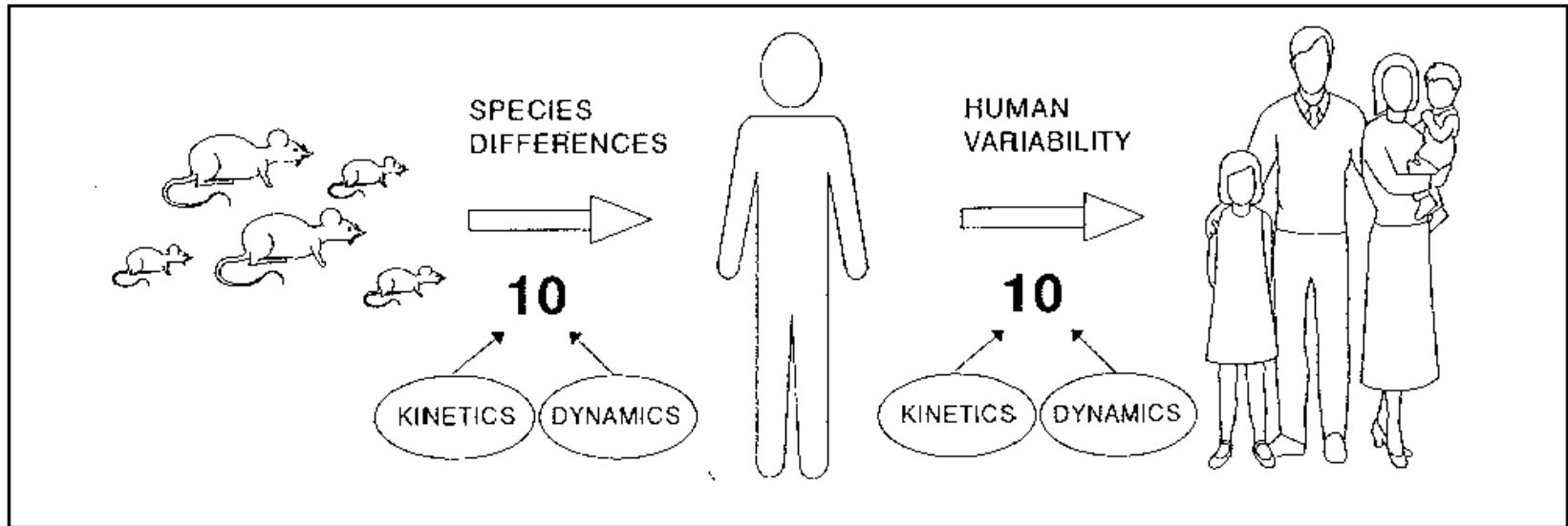
MoS : Margin of Safety

NO(A)EL : No Observed (Adverse) Effect Level

SED : Systemic Exposure Dosage

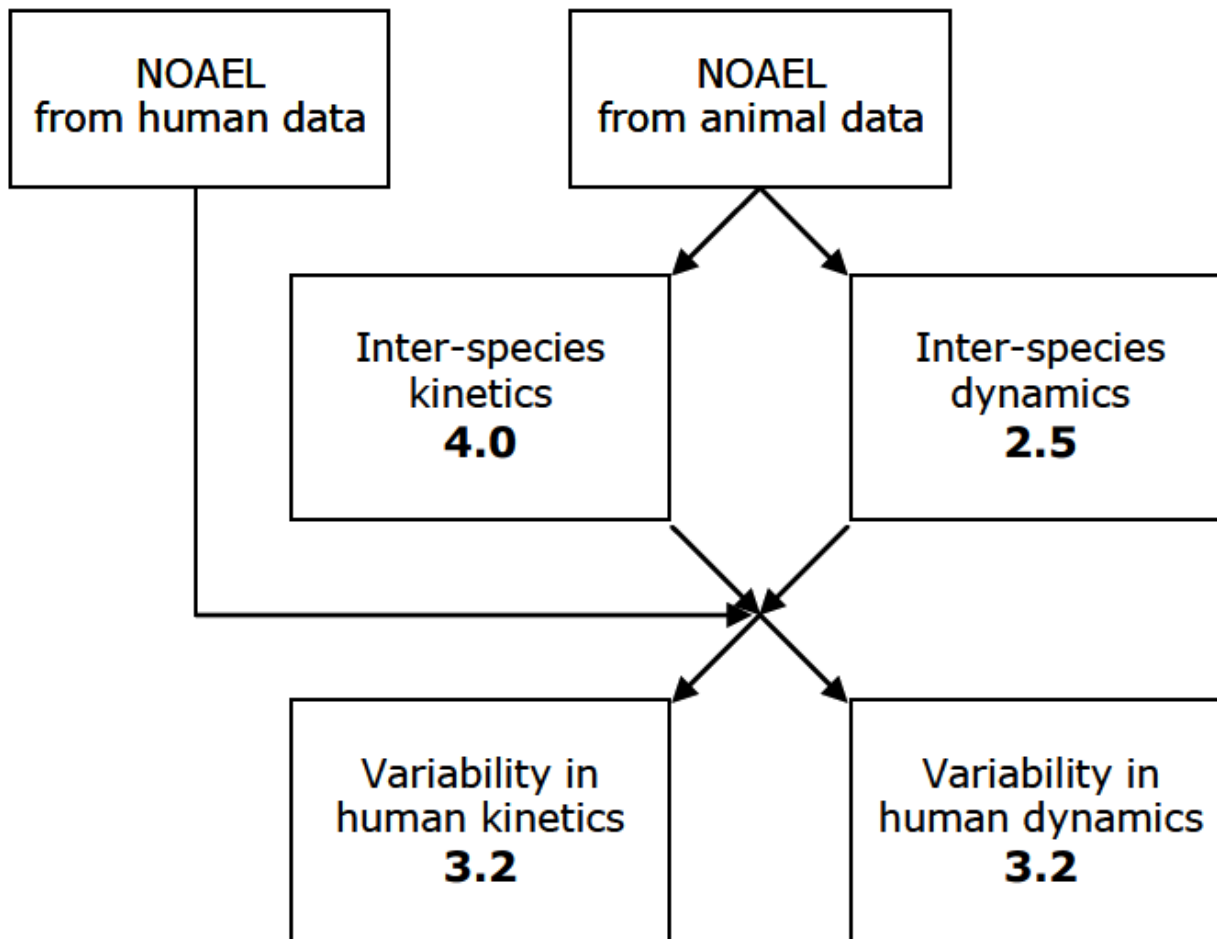
$$\text{MoS} > 100$$

# Finished Products



*“Safety of cosmetic products in the EU is based on the safety of the ingredients” (SCCS Notes of Guidance, 8<sup>th</sup> Revision 2012)*

# Finished Products



*“Safety of cosmetic products in the EU is based on the safety of the ingredients” (SCCS Notes of Guidance, 8<sup>th</sup> Revision 2012)*

# Finished Products

$$\text{SED} = \frac{\text{DA}_a (\mu\text{g}/\text{cm}^2) \times 10^{-3} \text{ mg}/\mu\text{g} \times \text{SSA} (\text{cm}^2) \times \text{F} (\text{day}^{-1})}{60 \text{ kg}}$$

SED (mg/kg bw/day)

Systemic Exposure Damage

DA<sub>a</sub> (mg/cm<sup>2</sup>)

Dermal Absorption reported as amount/cm<sup>2</sup>, resulting from an assay under in-use mimicking conditions

SSA (cm<sup>2</sup>)

Skin Surface Area expected to be treated with the finished product

F (day<sup>-1</sup>)

Frequency of application of the finished product

60 kg

Default human body weight

# Finished Products

**Table 2:** Mean exposed skin surface area per product type [Bremmer et al. 2005] and frequency of application per product type

Product type	Skin surface area involved (RIVM)		Frequency of application*
	Surface area (cm <sup>2</sup> )	Parameters (if specified)	
<b>Bathing, showering</b>			
Shower gel	17500	total body area	<i>1.43/day</i>
Hand wash soap	860	area hands	10/day <sup>2</sup>
Bath oil, salts, etc.	16340	area body - area head	1/day
<b>Hair care</b>			
Shampoo	1440	area hands + 1/2 area head	1/day
Hair conditioner	1440	area hands + 1/2 area head	0.28/day
Hair styling products	1010	1/2 area hands + 1/2 area head	<i>1.14/day</i>
Semi-permanent hair dyes (and lotions)	580	1/2 area head	1/week (20 min.)
Oxidative/permanent hair dyes	580	1/2 area head	1/month (30 min.)
<b>Skin care</b>			
Body lotion	15670	area body - area head female	<i>2.28/day</i>
Face cream	565	1/2 area head female	<i>2.14/day</i>
Hand cream	860	area hands	2/day
<b>Make-up</b>			
Liquid foundation	565	1/2 area head female	1/day
Make-up remover	565	1/2 area head female	1/day
Eye shadow	24		2/day
Mascara	1.6		2/day
Eyeliner	3.2		2/day
Lipstick, lip salve	4.8 <sup>3</sup>		2/day

\* Frequency figures in *italics* correspond to the 90<sup>th</sup> percentile values of the 2005/2009 Colipa (now Cosmetics Europe) studies (see next paragraphs for details on these studies)

# Finished Products

$$\text{SED} = \mathbf{A} \text{ (mg/kg bw/day)} \times \mathbf{C} \text{ (\%)/100} \times \mathbf{DA_p} \text{ (\%)/100}$$

SED (mg/kg bw/day)

Systemic Exposure Damage

A (mg/cm<sup>2</sup>)

Estimated daily exposure to a cosmetic product per kg body weight, based upon the amount applied and the frequency of application

C (%)

the Concentration of the substance under study in the finished product on the application site

DA<sub>p</sub> (%)

Dermal Absorption expressed as a percentage of the test dose assumed to be applied in real-life conditions

# Finished Products

**Table 3:** Estimated daily exposure levels for different cosmetic product types according to Collipa (Cosmetics Europe) data [SCCNFP/0321/00; Hall et al. 2007, 2011].

Product type	Estimated daily amount applied	Relative amount applied (mg/kg bw/day)	Retention factor <sup>1</sup>	Calculated daily exposure (g/day)	Calculated relative daily exposure (mg/kg bw/day)
<b>Bathing, showering</b>					
Shower gel	18.67 g	279.20	0.01	0.19	2.79
Hand wash soap <sup>2</sup>	20.00 g	-	0.01	0.20 <sup>3</sup>	3.33
<b>Hair care</b>					
Shampoo	10.46 g	150.49	0.01	0.11	1.51
Hair conditioner <sup>2</sup>	3.92 g	-	0.01	0.04	0.60
Hair styling products	4.00 g	57.40	0.1	0.40	5.74
Semi-permanent hair dyes (and lotions) <sup>2</sup>	35 ml (per application)	-	0.1	Not calculated	-
Oxidative/permanent hair dyes <sup>4</sup>	100 ml (per application)	-	0.1	Not calculated <sup>4</sup>	-
<b>Skin care</b>					
Body lotion	7.82 g	123.20	1.0	7.82	123.20
Face cream	1.54 g	24.14	1.0	1.54	24.14
Hand cream	2.16 g	32.70	1.0	2.16	32.70
<b>Make-up</b>					
Liquid foundation	0.51 g	7.90	1.0	0.51	7.90
Make-up remover <sup>2</sup>	5.00 g	-	0.1	0.50	8.33
Eye shadow <sup>2</sup>	0.02 g	-	1.0	0.02	0.33
Mascara <sup>2</sup>	0.025 g	-	1.0	0.025	0.42
Eyeliner <sup>2</sup>	0.005 g	-	1.0	0.005	0.08
Lipstick, lip salve	0.057 g	0.90	1.0	0.057	0.90
<b>Deodorant</b>					
Deodorant non-spray	1.50 g	22.08	1.0	1.50	22.08
Deodorant aerosol spray (ethanol-based) <sup>5</sup>	1.43 g	20.63	1.0	1.43	20.63
Deodorant spray (not ethanol-based)	0.69 g	10.00	1.0	0.69	10.00
<b>Oral hygiene</b>					
Toothpaste (adult)	2.75 g	43.29	0.05	0.138	2.16
Mouthwash	21.62 g	325.40	0.10	2.16	32.54



# Finished Products

## Microbiology

	Category 1	Category 2
Cosmetic products	Products specifically intended for children under 3 years, to be used in the eye area on mucous membranes	Other products
<u>Quantitative &amp; qualitative limits*</u>		
Total viable count for aerobic mesophyllic microorganisms	Should not exceed $10^2$ cfu/g or $10^2$ cfu/ml	Should not exceed $10^3$ cfu/g or $10^3$ cfu/ml
<u>Quantitative &amp; qualitative limits*</u>		
<i>Pseudomonas aeruginosa</i> , <i>Staphylococcus aureus</i> and <i>Candida albicans</i>	Must not be detectable in 1 g or 1 ml of a product (previously 0.5 g or ml)	Must not be detectable in 0.1 g or 0.1 ml of a product

\*Based on Colipa 1997, McEwen et al. 2001, US FDA 2001

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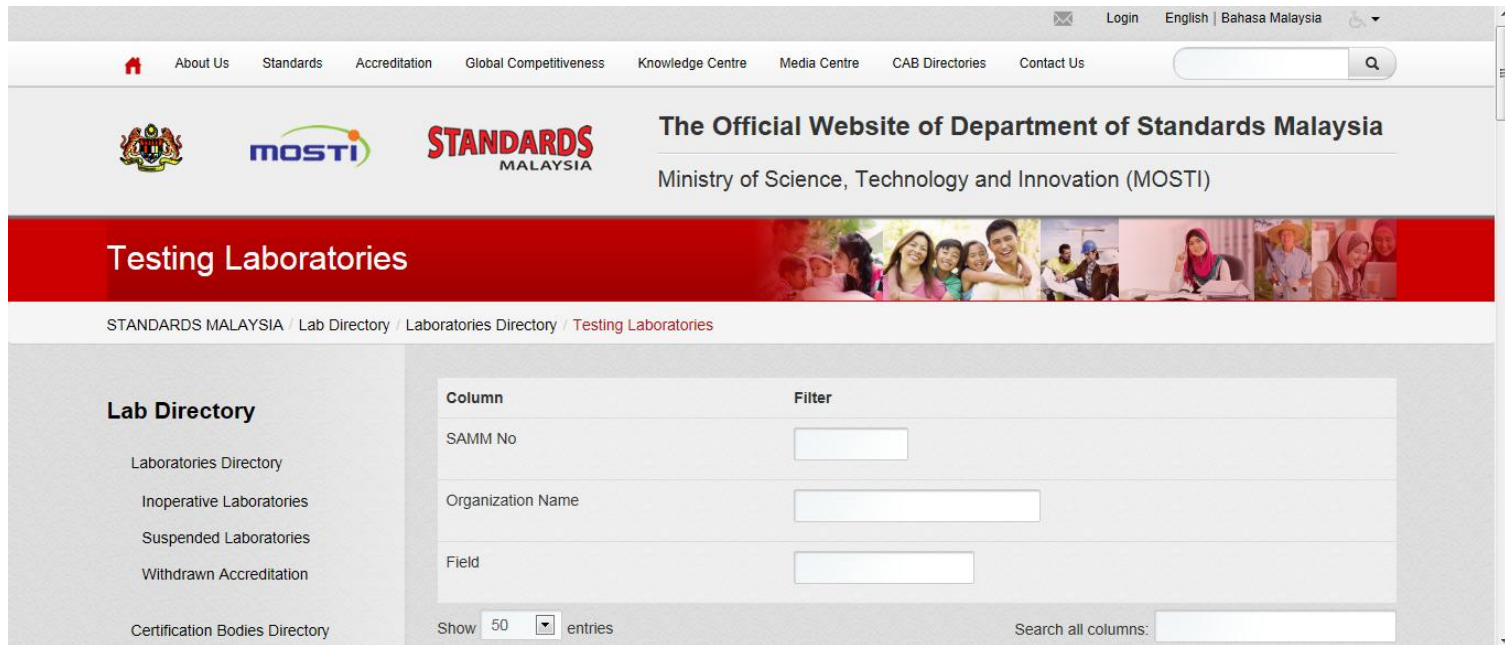
FINISHED PRODUCTS

**TEST FACILITIES**

# Test Facilities

## Skim Akreditasi Makmal Malaysia (SAMM) MS ISO/IEC 17025: 2005

<http://www.standardsmalaysia.gov.my/directory/testing-lab>



The screenshot shows the official website of the Department of Standards Malaysia. The page features a navigation menu with links for About Us, Standards, Accreditation, Global Competitiveness, Knowledge Centre, Media Centre, CAB Directories, and Contact Us. The main header includes the logos of the Malaysian coat of arms, MOSTI, and STANDARDS MALAYSIA, along with the text "The Official Website of Department of Standards Malaysia" and "Ministry of Science, Technology and Innovation (MOSTI)". A red banner highlights "Testing Laboratories". Below this, a breadcrumb trail reads "STANDARDS MALAYSIA / Lab Directory / Laboratories Directory / Testing Laboratories". The main content area is titled "Lab Directory" and contains a list of categories: Laboratories Directory, Inoperative Laboratories, Suspended Laboratories, Withdrawn Accreditation, and Certification Bodies Directory. A search filter table is present with columns for "Column" and "Filter".

Column	Filter
SAMM No	<input type="text"/>
Organization Name	<input type="text"/>
Field	<input type="text"/>

At the bottom of the filter table, it says "Show 50 entries" and "Search all columns:

# Test Facilities

**Skim Akreditasi Makmal Malaysia (SAMM)  
MS ISO/IEC 17025: 2005**

<http://www.standardsmalaysia.gov.my/directory/testing-lab>

## Fields

Chemical

Biological

Microbiological

Toxicity

# Test Facilities

## Skim Akreditasi Makmal Malaysia (SAMM) MS ISO/IEC 17025: 2005

<http://www.standardsmalaysia.gov.my/directory/testing-lab>

Total Active Testing Laboratories (as of 31 March 2013)

**380**

Fields	No of Entries
Chemical	286
Biological	48
Microbiological	58
Toxicity	2

Database search on 30 April 2013

# Test Facilities

## OECD GLP Compliant



<b>bpfk</b>	<b>GOOD LABORATORY PRACTICE COMPLIANCE PROGRAM</b>	Document No: <b>PKPB/300/105</b>	
	<b>LIST OF GLP COMPLIANT TEST FACILITIES</b>	Issue Date	1 July 2012
		Version	3
		Replace	Version 2
		Page	1 of 1

These Test Facilities are entered in the NPCB GLP Compliance Monitoring Program and shall be periodically inspected.

YEAR : 2012

TEST FACILITY & ADDRESS	REGISTRATION NUMBER	SCOPE	AREA OF EXPERTISE	DATE OF CERTIFICATE	CONTACT PERSON
Environmental Technology Research Centre (ETRC), SIRIM Berhad 1, Persiaran Dato' Menteri, Seksyen 2, P.O.Box 7035, 40911 Shah Alam.	GLP 001	Pharmaceuticals Cosmetics Veterinary Drugs Food Additives	Mutagenicity	27 February 2012	Dr. Chan Sau Soon Tel: 03-55446564
Melaka Biotechnology Corporation Lot 7, MITC City, Hang Tuah Jaya, 75450 Ayer Keroh, MELAKA.	GLP 002	Pharmaceuticals Cosmetics Veterinary Drugs Food Additives	Mutagenicity	30 November 2011	Datin Nor Sabrina Mohd Noor Tel: 06-2313622
Info Kinetics Sdn Bhd Suite 126, Kompleks Eureka, Universiti Sains Malaysia, 11900 Minden, Pulau Pinang.	GLP 003	Pharmaceuticals Cosmetics Veterinary Drugs Food Additives	Analytical and Clinical Chemistry	7 May 2012	Dr. Lee Toong Chow Tel: 04-6589220

<http://portal.bpfk.gov.my> [30 April 2013]

# Test Facilities

## OECD GLP Compliant



### LIST OF GLP COMPLIANT TEST FACILITIES (As of 1 September 2011)

**STANDARDS**  
MALAYSIA

NO.	TEST FACILITY ADDRESS & DETAILS	CONTACT PERSON	CATEGORY OF TEST ITEM*	AREA OF EXPERTISE*	RECOGNITION FROM	CERTIFICATE NO.
1.	<b>Acumen Scientific Sdn. Bhd.</b> Plot 256, Tingkat Perusahaan 5, kawasan Perindustrian Prai 2, 13600 Prai, Pulau Pinang, Malaysia. Tel: +604-3883777 Fax: +604-3987880 Email: <a href="mailto:inquiry@acumen.com.my">inquiry@acumen.com.my</a> / <a href="mailto:liang-ming.yeow@acumen.com.my">liang-ming.yeow@acumen.com.my</a>	Mr. Yeow Liang Ming	i-Pesticides	1-Physical-chemical testing	02-09-2010	GLP 001
2.	<b>Sumitomo Chemical Enviro- Agro Asia Pacific Sdn. Bhd.</b> Lot 62A, Persiaran Bunga Tanjung 1, Senawang Industrial Park, 70400 Seremban, Negeri Sembilan, Malaysia. Tel: +606-6793711 Fax: +606-6793698 Email: <a href="mailto:muneyserit@sumitomo-chem.com.my">muneyserit@sumitomo-chem.com.my</a>	Dr. Muney Serit	i-Pesticides	1-Physical-chemical testing	02-09-2010	GLP 002

# Test Facilities

## OECD GLP Compliant

NO.	TEST FACILITY ADDRESS & DETAILS	CONTACT PERSON	CATEGORY OF TEST ITEM	AREA OF EXPERTISE	RECOGNITION FROM	CERTIFICATE NO.
3.	<b>Toxicology Laboratory</b> Melaka Biotechnology Corporation Lot 7, MITC City, Hang Tuah Jaya, 75450 Ayer Keroh, Melaka. Malaysia. Tel: +606-2313622 Fax: +606-2323276 Email: <a href="mailto:haslan@mib.gov.my">haslan@mib.gov.my</a>	Mr. Haslan Roslie	ii-Pesticides	3-Mutagenicity Testing	01-08-2011	GLP 003
4.	<b>Environment Technology Research Centre</b> SIRIM Berhad, 1, Persiaran Dato' Menteri, Section 2, P.O Box 7035, 40911 Shah Alam, Selangor. Malaysia. Tel: +603-5544 6564 Fax: +603-5544 6579 Email: <a href="mailto:sau.soon_chen@sirim.my">sau.soon_chen@sirim.my</a>	Dr. Chen Sau Soon	i-Industrial Chemicals ii-Pesticides	3-Mutagenicity Testing 4-Environmental Toxicity Studies on aquatic organisms 5-Studies on behaviour in water, soil and air	10-08-2011	GLP 004

\*Note:

**\*Category of Test Item**

Following code to be use:

- i- Industrial Chemicals
- ii- Pesticides
- iii- Feed Additives
- iv- Biotechnology (non-pharmaceutical products)

**\*Area of Expertise**

Following code to be use:

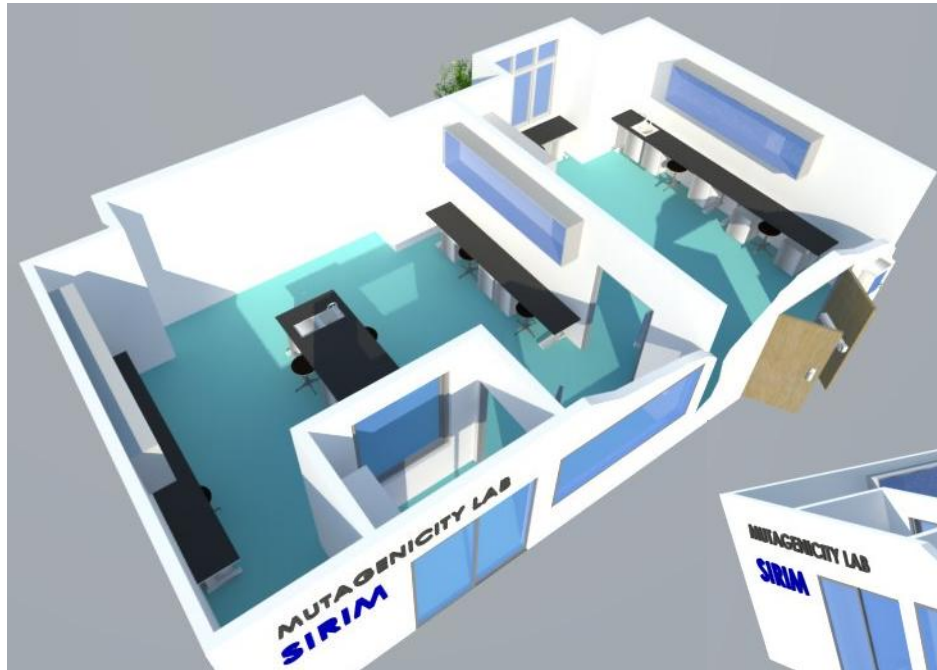
- 1- Physical-chemical testing
- 2- Toxicity Testing
- 3- Mutagenicity Testing
- 4- Environment Toxicity Studies on aquatic and terrestrial organisms
- 5- Studies on behaviour in water, soil, air; bioaccumulation
- 6- Residue studies
- 7- Studies on effect on Mesocosms and Natural Ecosystems
- 8- Analytical and clinical chemistry testing
- 9- Other studies: to specify

<http://www.standardsmalaysia.gov.my> [30 April 2013]

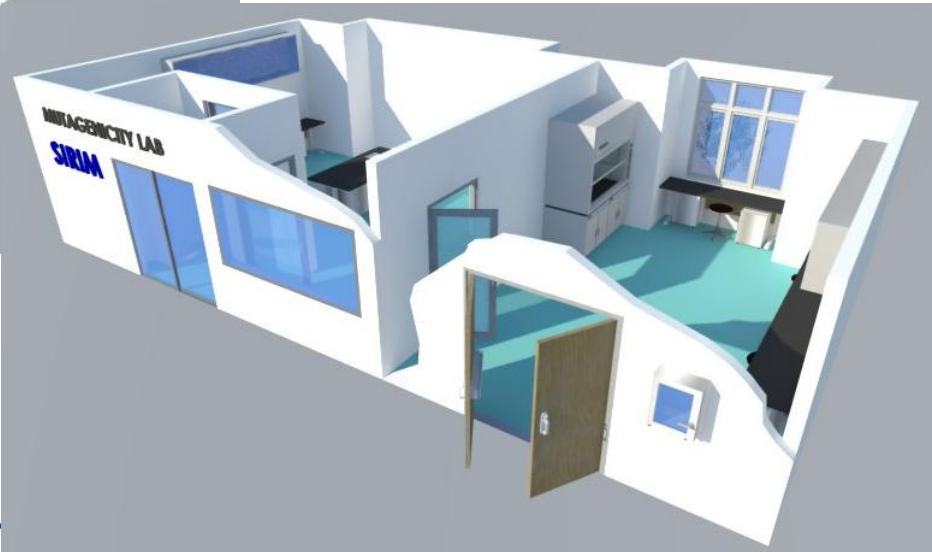


# Test Facilities

## OECD GLP Compliant



In Vitro Toxicity Laboratory  
SIRIM Berhad





Mohd Helme bin Mohd Helan  
Product Safety & Hazard Assessment Section  
Environmental Technology Research Centre  
SIRIM Berhad

Tel: 03-5544 6577

Fax: 03-5544 6590

Email: [mhelme@sirim.my](mailto:mhelme@sirim.my)